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# The Role of Mountains as Refugia for Biodiversity in Brazilian Caatinga: Conservationist Implications

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## Abstract

The relationship between how humans and altitude influence the structure of plant communities has been poorly investigated and particularly so in semiarid ecosystems. Understanding the processes that affect plant community structure is essential for sustaining the biodiversity found in altitudinal refugia of the Brazilian Caatinga. In this study, we investigated the influence of altitudinal gradients and human impacts on floristic composition and structure of a shrubby-arboreal community in north-eastern Brazil. We recorded a total of 68 tree species in 45 randomly sampled 4 × 50 m plots in three different altitudinal levels (Level 1, Level 2, and Level 3). The species clearly separated among the altitudes studied when the abundance data were analyzed (Bray–Curtis, Nonmetric Multidimensional Scaling). Whittaker plots indicated that Level 3 (highest) had more species and greater evenness and thus greater diversity. On the other hand, Level 1 (lowest) had lower diversity with greater dominance of a few pioneer species. Our results indicated that higher altitudes appear to function as refugia for many plant species (unique species and species shared with Atlantic Forest), which results in greater species richness. However, high levels of human disturbance at lower altitudinal levels contribute to lower diversity due to the competitive abilities and dominance of pioneer tree species. The maintenance of these altitudinal Caatinga refugia is important and should be a priority for the implementation of new protected areas in the semiarid region of Brazil.

## Keywords

Brazilian semiarid, elevation gradient, mountain range, land use intensification

Species composition and community structure are modulated by different microhabitats (Walther et al., 2002; Wiegmann & Waller, 2006). Therefore, environmental gradients (Bernard-Verdier et al., 2012), particularly elevation gradients, in which environmental changes can influence floristic composition and genetic diversity, must be studied (Boulangeat, Lavergne, Van, Garraud, & Thuiller, 2012). On the other hand, human activities have had a marked effect on biodiversity patterns (Kessler, 2009; Rahbek, 1995), with land use intensification having the greatest negative effect on species richness and causing changes in ecosystem processes (Hooper et al., 2012). Therefore, it is important to identify and conserve refugia that sustain high levels of biodiversity, particularly for semiarid ecosystems (Manhães, Mazzochini, Oliveira-Filho, Ganade, & Carvalho, 2016).

One evident feature of the Brazilian semiarid region is the presence of mountains that are less than 1,000 meters in altitude (Instituto Brasileiro de Geografia e Estatística [IBGE], 2012). These mountains are natural barriers to human exploitation and accessibility and possess a more humid climate (mesic environment) than the surrounding landscape and thus serve as the

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refugia for biodiversity (Ribeiro, Rodríguez, Santos, Tabarelli, & Leal, 2015; Silva, Lopes, Lopez, Melo, & Trovão, 2014). This pattern of diversity is typical of Brazilian semiarid mountains but is unlike the pattern of decreasing biodiversity with increasing altitude found in other mountainous regions of the world (Nogués-Bravo, Araújo, Romdal, & Rahbek, 2008; Sanchez, Pedroni, Eisenlohr, & Oliveira-Filho, 2013; Toledo-Garibaldi & Williams-Linera, 2014).

The Caatinga (a seasonally dry tropical forest) is a typical semiarid ecosystem of Brazil. It is characterized by prominent seasonal rainfall with several months of severe drought (ranging from 240 to 1500 mm per year, with 5 to 6 months receiving <100 mm; Pennington, Lavin, & Oliveira-Filho, 2009) and high rates of potential evapotranspiration (1,500 a 2,000 mm per year; Moro, Lughadha, de Araújo, & Martins, 2016). Caatinga vegetation is comprised of deciduous trees and shrubs with anatomical, morphological, and functional adaptations determined by the adverse climatic and soil conditions present of the region (Pennington et al., 2009). The phytogeographical domain of the Caatinga borders other important tropical domains, including the Atlantic Forest to the east, the Amazon Forest to the west, and the Cerrado to the south, which has resulted in numerous shared ecological and floristic characteristics (Leal, Silva, Tabarelli, & Lacher, 2005; Moro et al., 2016).

Since colonial times, the forest of the Caatinga has been exploited for logging, agriculture, and grazing (Leal et al., 2005; Pereira, Andrade, Sampaio, & Barbosa, 2003), and local communities have long used its plants for a variety of different purposes (Lucena, Albuquerque, & Araújo, 2007; Lucena, Medeiros, Araújo, Alves, & Albuquerque, 2012; Lucena et al., 2013). These activities have homogenized the habitat, resulting in the loss of diversity and changes to the structure of plant communities (Albuquerque et al., 2012; Büchi & Vuilleumier, 2014; Ribeiro, Rodríguez, Souza, & Leal, 2016; Smart et al., 2006). In some ecosystems, such as low altitudinal areas of semiarid mountains, species richness can decrease as a result of the introduction of generalist species that can better withstand anthropogenic disturbance (Alexander et al., 2011).

Assuming that biodiversity is directly related to both environmental factors and human disturbance, we sought to determine whether the floristic composition and structure of shrub-arboreal communities differ along an altitudinal gradient in the semiarid Brazilian Caatinga. We expect that mountains of semiarid have greatest biodiversity and unique species due a more humid climate, making possible the occurrence of typical species of the Atlantic Forest. In addition, the lowest altitudinal levels have minor biodiversity due anthropogenic disturbance.

## Method

### Study Area

Fieldwork was conducted on Bodocongó mountain (07° 27' 6" S; 35° 59' 41" W), which is located in the municipality of Queimadas in the state of Paraíba, Brazil (Figure 1). The area lies within the Cariri Oriental microregion of the Brazilian semiarid region (Agência Executiva de Gestão das Águas do Estado da Paraíba [AESAs], 2006).

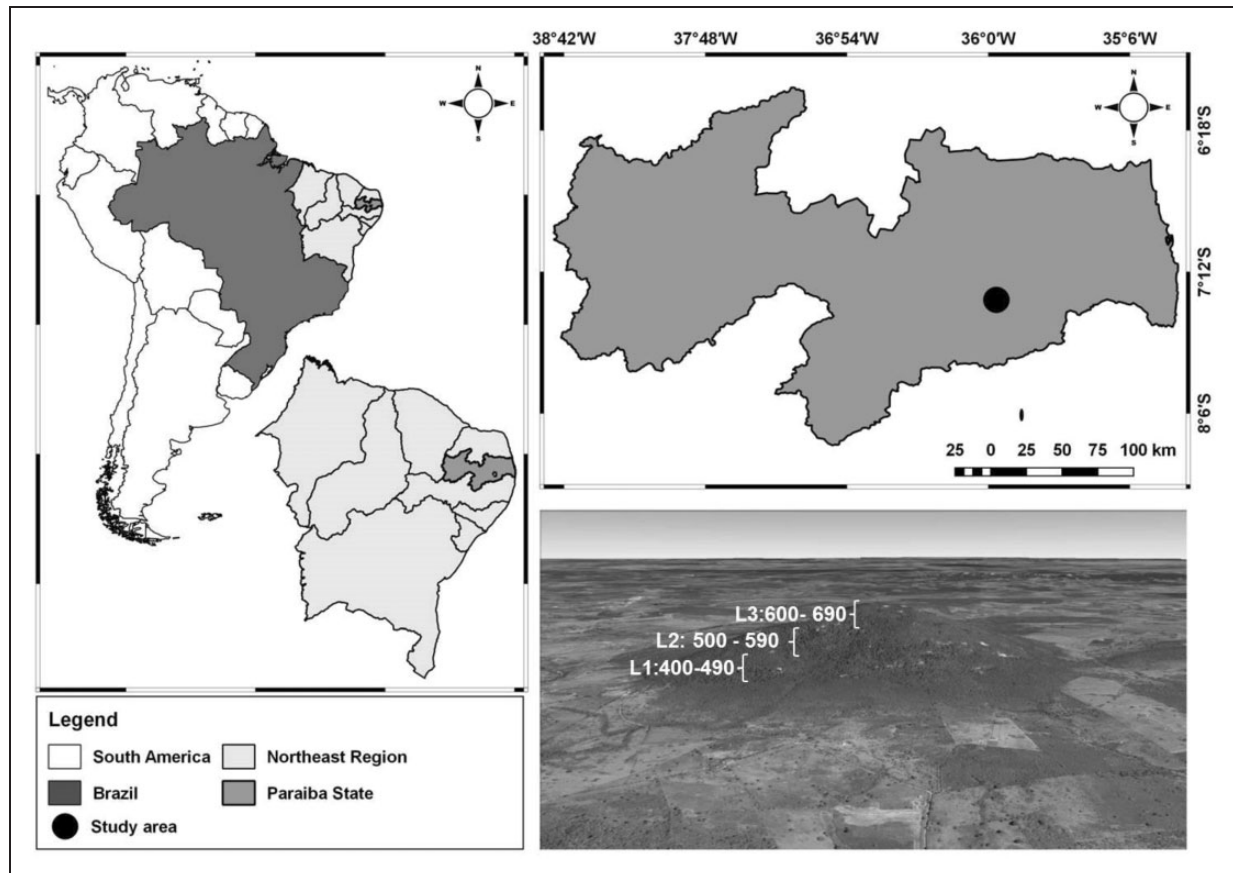
The Cariri microregion is the driest area in Brazil and, according to the newly updated Köppen–Geiger classification system (Alvares, Stape, Sentellhas, Gonçalves, & Sparovek, 2013), its climate is classified as BSwh', being warm and semiarid, with a dry season lasting 9 to 10 months and less than 300 mm mean annual rainfall (AESAs, 2006). Temperature is variable, with a monthly minimum of 18°C to 22°C in July and August and a monthly maximum of 28°C to 31°C in November and December. The monthly mean relative humidity is 60% to 75%, reaching the maximum generally in June and the minimum in December (Bioclimatic variables, 2010).

The predominant vegetation is typical of semiarid regions in Brazil and consists of savanna physiognomies with endemic flora (Caatinga). It is characterized by shrubs and low trees with many with thorns, microphylls, and numerous xerophytic features (IBGE, 2012; Prado, 2008). The predominant soils in the region are classified as luvisol, neosol, and vertisol (Empresa Brasileira de Pesquisa Agropecuária, 2006).

The study was conducted on private property, which had clear signs of human impacts including selective logging and man-made trails. The intensity of human disturbance differed with altitude; at the lower altitudes, where human and animal access appeared to be possible, there was significant human disturbance (Silva et al., 2014). At the base of the mountain, there were many anthropogenic clearings; while at midaltitudes, there appeared to be less human impact, as indicated by the presence of primary vegetation (closed shrub-arboreal savanna). Individual shrubs and trees with greater basal area and height were commonly found at midaltitudes. The highest altitudes were difficult to access and appeared to have been minimally impacted; there were a few trails and marginal evidence of selective logging. The area surrounding the study site was a matrix of pasture, abandoned land in various states of regeneration, and agricultural crops.

### Data Collection

We used the sampling methods proposed by Braun-Blanquet (Martins, 1989), and based on previous studies in mountain ranges in the Brazilian semiarid region (Carvalho, Souza, & Trovão, 2012; Oliveira, Trovão,



**Figure 1.** Location of the study area, Bodocongó mountain, municipality of Queimadas, state of Paraíba, in the semi-arid region of Brazil. Altitudinal levels and the matrix of agriculture and livestock areas surrounding the mountain are shown in detail.

Souza, & Ferreira, 2009; Silva et al., 2014), plots were distributed at three different altitudes throughout the altitudinal gradient using a Garmin Global Position System (GPS). The three altitudinal levels reflected homogeneous sample domains and consisted of the following: L1 400 to 499 m (Level 1, base of the mountain), L2 500 to 599 m (Level 2, midaltitude), and L3 600 to 690 (Level 3, maximum altitude) (Figure 1). Fifteen random plots (without systematization) were sampled at each altitudinal level, totaling 45 plots (50 m × 4 m) and a total of 1 ha.

In each plot, all live arboreal shrubs with ground-level stem diameters of  $\geq 3$  cm and heights of  $\geq 1$  m were sampled (Rodal, Sampaio, & Figueiredo, 2013). We used calipers and a tape measure to measure plant diameter; for individuals with multiple stems, each stem was measured individually. Plant height was measured using a 12-m long stick subdivided at every 2 m. For unidentified species, vegetative parts were collected and identification was performed at Manuel de Arruda Câmara, Universidade Estadual da Paraíba, Campus I, Campina Grande, or by a third-party specialist. All scientific names were verified by specialists following the Missouri Botanical Garden ([www.mobot.org](http://www.mobot.org)) and the Northeast

Center for Plant Information databases ([www.cni-p.org.br](http://www.cni-p.org.br)). The Angiosperm Phylogeny Group IV (APG IV) classification system (APG, 2016) for the family- and genus-level nomenclature was used.

### Statistical Analyses

In order to document spatial variation of the plant communities along the altitudinal gradient, Nonmetric Multidimensional Scaling (MDS) was applied to square-root transformed abundance data using Bray–Curtis distance and a stability criterion of 0.00001 in order to obtain the best two-dimensional description of the data set (McCune & Grace, 2002). MDS is considered an efficient technique for ordination of ecological samples based on species occurrence (McCune & Mefford, 2011). The suitability of the ordination was assessed by the stress value, which indicates the relationship between the Euclidean distance among samples relative to the ordination axis. Bray–Curtis dissimilarity was used to determine the floristic compositional distances. Overall, the MDS was used to investigate changes in community composition and the formation of subgroups along the

altitudinal gradient. Stress values on MDS plots below 0.2 are an indication of a good fit (Borcard, Gillet, & Legendre, 2011).

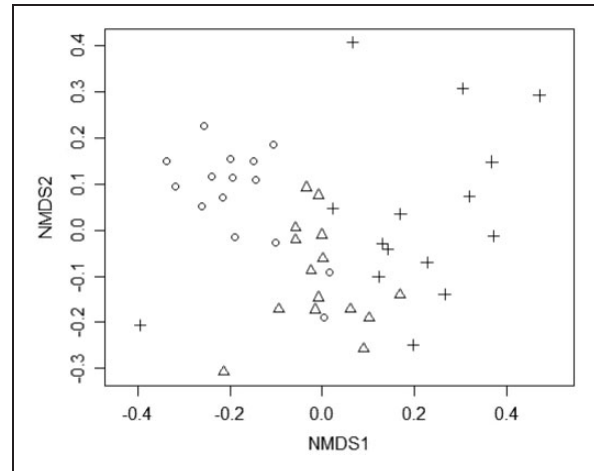
Next we used a permutational multivariate analysis of variance (PERMANOVA) with 9,999 random permutations (Anderson, 2001) to test if the groups segregated by MDS were significant. To test for any dispersion effect, a Permutational test of dispersion (PERMDISP) was also performed to check for homogeneity of the multivariate dispersions considering the compositions of each altitudinal level. A nonsignificant PERMDISP test would indicate that any significant result obtained in the PERMANOVA was a result of a location effect (higher or lower average size composition). To identify the species that had the greatest contribution to similarity within levels, and dissimilarity among levels, we used an analysis of similarity percentage breakdown (SIMPER). As a result, only five species represented the most influential species of each group. All analyses were performed using PRIMER-E software with the PERMANOVAP add-on package (Anderson, Gorley, & Clarke, 2008).

Variables of community structure, including richness, density ( $\text{ha}^{-1}$ ), basal area ( $\text{ha}^{-1}$ ), diameter, and average height were analyzed for each altitudinal level using the program FITOPAC Shell, Version 2.1 (Shepherd, 2010). In order to meet the assumptions of normality and homoscedasticity, the data (response variables) were tested using Shapiro–Wilk test and subsequently transformed and standardized (Hammer, Harper, & Ryan, 2001). An ANOVA was then used, with a Tukey post hoc test (confidence level of 95%,  $p < .05$ ) to compare response variables using the Paleontological Statistics program—PAST 2.17c (Hammer et al., 2001).

We calculated the Shannon diversity index ( $H'$ ) and the evenness ( $J'$ ) for each altitudinal level using the program PAST 2.17c (Hammer et al., 2001). A Hutcheson's  $t$  test was performed in order to better compare the diversity index values calculated for each altitudinal level using the software PAST 2.17c. In order to visualize the distribution of the abundance of each species, a Whittaker's plot was constructed with log-transformed species abundance data. A Spearman's rank correlation analysis was used to assess the relationship between altitude and the community response variables (richness, density, basal area, diameter, and average height).

## Results

A total of 68 species/morphospecies (2,808 individuals and 20 families) were sampled (Appendix). The mountain has a floristic unit, with over 50% of total species distributed along of the three altitudinal levels; but in terms of abundance, the MDS showed a clear separation among the levels (Figure 2). The ordination had a stress value of 0.2 indicating that it adequately separate the



**Figure 2.** Floristic groups corresponding to the altitudinal level in the mountain of Bodocongó in the semiarid region of Brazil. The samples (plots) are ordered for each of the two dimensions of a nonmetric multidimensional ordination performed using site abundance-based Bray–Curtis similarity matrix and altitude (see Method section for details). Circles are the L1 plots, triangles are the L2 plots, and crosses are the L3 plots.

samples along the altitude gradient. The three altitudinal levels were significantly different in terms of their composition and abundance along the gradient—PERMANOVA,  $Pseudo-F_{2,44} = 6.676$ ; 0.01. The average distance to the group centroid based on assemblage dissimilarities (i.e., species turnover or  $\beta$  diversity) also differed among the levels (PERMDISP,  $F_{2,42} = 11.24$ ; 0.01). Altitudinal level L3 had the highest average distance to the group centroid (0.49) followed by L2 (0.39) and L1 (0.37).

The SIMPER analysis indicated 45.5% similarity among taxa of the first level, 42.68% similarity among the L2 samples, and 28.46% similarity among the L3 samples (Table 1). The plants that contributed to the similarity of each level and dissimilarity among levels are shown in Table 1.

Species richness for levels ranged from 38 to 55 (Table 2). The number of unique species was greatest in L3 (10) and much lower in L1 (only *Sideroxylon obtusifolium*, Sapotaceae) and L2 (two species; Morphospecies VII and *Pilosocereus gounellei*, Cactaceae). The Whittaker's plot showed that L3 had more species (longer tail) and more evenness (curve with the most gradual inclination) than the other two elevation levels (Figure 3). This pattern reflects greater diversity in L3, although the three altitudinal levels had significantly different Shannon–Wiener indices (Table 2). Altitudinal level L1 had lower richness and diversity due to greater dominance of two species (Figure 3), *Croton blanchetianus* (Euphorbiaceae) and *Poincianella pyramidalis* (Fabaceae), which together represented 58.8% of the total plant abundance of this level.

**Table 1.** The Influential Species That Most Contributed to Similarity Within the Levels and Dissimilarity Among the Levels (SIMPER Results).

Levels	L1	L2	L3
L1	45.50%		
	<i>Croton blanchetianus</i> (Euphorbiaceae)		
	<i>Poincianella pyramidalis</i> (Fabaceae)		
	<i>Aspidosperma pyriformium</i> (Apocynaceae)		
	<i>Mimosa ophthalmocentra</i> (Fabaceae)		
	<i>Piptadenia stipulacea</i> (Fabaceae)		
L2	68.15%	42.62%	
	<i>Croton blanchetianus</i> (Euphorbiaceae)	<i>Allophylus puberulus</i> (Sapindaceae)	
	<i>Poincianella pyramidalis</i> (Fabaceae)	<i>Commiphora leptophloeos</i> (Burseraceae)	
	<i>Allophylus puberulus</i> (Sapindaceae)	<i>Bauhinia cheilantha</i> (Euphorbiaceae)	
	<i>Piptadenia stipulacea</i> (Fabaceae)	<i>Aspidosperma pyriformium</i> (Apocynaceae)	
	<i>Bauhinia cheilantha</i> (Euphorbiaceae)	<i>Sapium glandulosum</i> (Euphorbiaceae)	
L3	79.33%	69.60%	28.46%
	<i>Croton blanchetianus</i> (Euphorbiaceae)	<i>Allophylus puberulus</i> (Sapindaceae)	<i>Allophylus puberulus</i> (Sapindaceae)
	<i>Poincianella pyramidalis</i> (Fabaceae)	<i>Bauhinia cheilantha</i> (Euphorbiaceae)	<i>Manihot glaziovii</i> (Euphorbiaceae)
	<i>Allophylus puberulus</i> (Sapindaceae)	<i>Clusia paralicola</i> (Clusiaceae)	<i>Bauhinia cheilantha</i> (Euphorbiaceae)
	<i>Piptadenia stipulacea</i> (Fabaceae)	<i>Poincianella pyramidalis</i> (Fabaceae)	<i>Clusia paralicola</i> (Clusiaceae)
	<i>Clusia paralicola</i> (Clusiaceae)	<i>Croton heliotropiifolius</i> (Euphorbiaceae)	<i>Croton blanchetianus</i> (Euphorbiaceae)

Note. Just five species represented the most influential species of each group.

**Table 2.** Structural Variables of the Three Altitudinal Levels of the Mountain Studied in Semiarid Brazil.

Structural variables	Level 1	Level 2	Level 3
Families	18	20	21
Richness	38	50	55
Chao I	38.6	55.1	60.0
Unique species	1	2	10
Shannon–Wiener index (H')	2.265 <sup>c</sup>	3.081 <sup>b</sup>	3.209 <sup>a</sup>
Pielou evenness index (J')	0.623	0.788	0.801
Abundance per plots	81.93 (± 28.29) <sup>a</sup>	55.0 (± 14.92) <sup>b</sup>	49.71 (± 17.84) <sup>b</sup>
Density (ind/ha)	2.74 (± 1.01) <sup>a</sup>	1.92 (± 0.53) <sup>b</sup>	1.85 (± 0.54) <sup>b</sup>
Basal Area (m <sup>2</sup> /ha)	0.51 (± 0.19) <sup>a</sup>	0.64 (± 0.31) <sup>a</sup>	0.37 (± 0.20) <sup>b</sup>
Average diameter (cm)	7.55 (± 1.88) <sup>b</sup>	9.5 (± 1.77) <sup>a</sup>	6.92 (± 2.12) <sup>b</sup>
Average height (m) ns	3.84 (± 1.56)	5.35 (± 1.22)	4.32 (± 1.61)

Note. Values between parentheses are standard deviation. ns = nonsignificance.

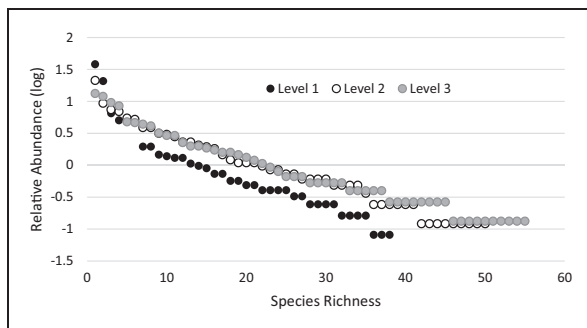
<sup>a,b,c</sup>Different letters mean significant difference between the averages for each trait ( $P < 0.05$ ), from post hoc Tukey test groupings.

From a total of 68 species, 31 species were sampled in all three levels but with varying abundances along the altitudinal gradient. *Handroanthus impetiginosus* (Bignoniaceae) and *Talisia esculenta* (Sapindaceae) represented 90% and 76% of the total number of individuals measured in L3, respectively. Similarly, some species were more abundant in L2 than in other levels, for example, *Aspidosperma pyriformium*, Apocynaceae (45% of

individuals of the species), *Commiphora leptophloeos*, Burseraceae (73%), *Sapium glandulosum*, Euphorbiaceae (91%), and *Allophylus puberulus*, Sapindaceae (77%; Figure 4).

In relation to the response variables, abundance (number of individuals) and density varied significantly,  $F_{2,44} = 9.784$  and  $F_{2,44} = 7.691$ ;  $p < .01$ , respectively, with average values of 49.7 to 81.9 individuals and 1.85 to 2.74

individuals/ha per level, respectively (Table 2). For both variables, the greatest values were encountered in L1. Basal area varied significantly among the levels,  $F_{2,44} = 7.899$ ;  $p < .01$ ; however, the Tukey test only indicated that L3 was statistically different, while L1 and L2 had similar values. The similar values of basal area for L1 and L2 can be attributed to different causes, since L1 had higher plant density while L2 had larger diameter and height values due to the presence of larger individuals (Table 2).

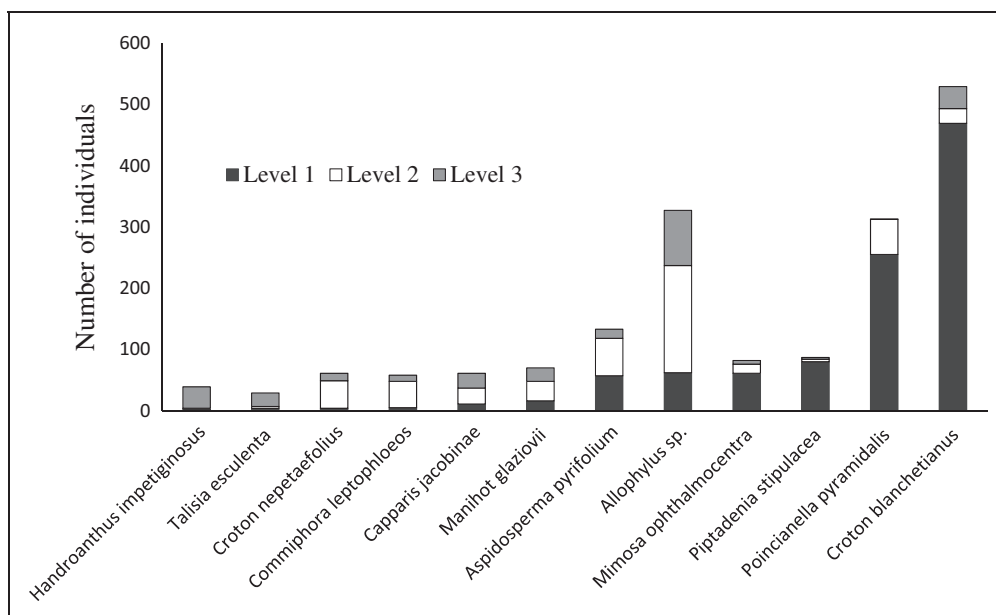


**Figure 3.** Whittaker's plot (or dominance plot) for the three altitudinal levels of the Bodocongó mountain in the semiarid region of Brazil. Level 3 has more species (curve with the longest tail) and more evenness (curve with most gradual inclination) than the other two altitudinal levels. Altitudinal Level 1 has the fewest species but the highest dominance.

A negative correlation was found between altitude and density ( $r_s = -.522$ ;  $p < .01$ ) and altitude and basal area ( $r_s = -.33$ ;  $p < .05$ ). However, a positive relationship was found between diversity and altitude ( $r_s = .335$ ;  $p < .05$ ).

## Discussion

The results presented here indicate that the plant communities on Bodocongó mountain are significantly different in terms of flora, abundance, basal area, diversity, and evenness. Changes in plant composition and diversity along a gradient have been observed in other studies (Sagar, Raghubanshi, & Singh, 2003; Smart et al., 2006; Ribeiro et al., 2016). The high density, low richness, low diversity, and low evenness values at L1 (base of the mountain) appears that is common landscape of the Brazilian Caatinga (typical Caatinga), which is nationally recognized as a highly fragmented and human-impacted ecosystem (Gariglio, Sampaio, Cestaro, & Kageyama, 2010; Leal et al., 2005; Ramos, Ramos, & Albuquerque, 2012; Ribeiro et al., 2016). The use and occupation of the Brazilian Caatinga has caused numerous changes to the composition and structure of the vegetation (Pereira, Andrade, Costa, & Dias, 2001; Ribeiro et al., 2015; Ribeiro et al., 2016). The extensive conversion of natural areas to human-modified landscapes is currently the most significant threat to this ecosystem (Araújo et al., 2010; Carmel & Kadmon, 1999; Quesada et al., 2009; Ribeiro et al., 2016). Human disturbance has enabled increased dominance by some species (Ribeiro et al., 2016; Smart



**Figure 4.** Differences in the number of individuals of the 12 most abundant species sampled among the three altitudinal levels (L1, L2, and L3) of the Bodocongó mountain in the semiarid region of Brazil. The level of greatest abundance varies among species and the most abundant species are present in L1.

et al., 2006) and consequently can reduce basal area, average height, and evenness of a plant community (Ramirez-Marcial, Gonzalez-Espinosa, & Williams-Linera, 2001; Virtanen et al., 2010; Wiegmann & Waller, 2006).

In disturbed areas, successful pioneer tree species can dominate the environment by limiting the development of species less tolerant to these environmental conditions (Büchi & Vuilleumier, 2014; Ribeiro et al., 2016). In many situations, taxonomic impoverishment of tropical plant assemblages is associated with the extirpation of key ecological plant groups (e.g., old growth flora; loser species) (Leal, Andersen, & Leal, 2015) or the proliferation of particular lineages resulting in the cooccurrence of more closely related taxa (Ribeiro et al., 2016). Following this, few winners among the original tree species remain (Zhao, Pan, Tan, & Corlett, 2015), thus functional diversity is reduced (Tabarelli, Lopes, & Peres, 2008).

*C. blanchetianus* and *P. pyramidalis* are abundant and in high densities in forests following long periods of exploitation (Carvalho et al., 2012; Sampaio, 1995; Stanley, Voeks, & Short, 2012). In one review of 131 surveys, these species were considered to be the most common woody species found throughout the Caatinga Phytogeographical Domain (Moro et al., 2016). In the present study, these species were found in high density in Level 1. Furthermore, only one unique species was sampled in L1 (Table 2). Human disturbance at the base of the mountain could have affected the presence of rare species (species with 1–5 individuals; Saiful & Latiff, 2014) and unique species (Bhat et al., 2000), and overall could have impoverished the plant community (Ribeiro, et al., 2016; Zhang, Cheng, Zang, & Ding, 2014).

On the other hand, the medium to high diversity values ( $H' > 3.1$ ) found in L3 resemble those found in seasonal dry forests (Cestaro & Soares, 2004; Lopes, Schiavini, Oliveira, & Vale, 2012; Lopes, Schiavini, Vale, Prado-Júnior, & Arantes, 2012; Prado-Júnior et al., 2016). These results indicate a significant increase in diversity along the altitudinal gradient ( $r = .335$ ;  $p < .05$ ). This finding was expected, since mountains (or mountain ranges) of the semiarid region are considered to be biodiversity refugia in relation to the surrounding matrix not only because provide natural protection from human disturbance, especially at the highest elevations (Silva et al., 2014), but also because plant community of the top of these mountainous are a mix of Caatinga species and Atlantic Forest species (Carnaval, Hickerson, Haddad, Rodrigues, & Moritz, 2009; Carnaval & Moritz, 2008; Leite et al., 2016; Werneck, Costa, Colli, Prado, & Sites, 2011).

According to forest refuge hypothesis, the Atlantic Forest of eastern South America probably expanded its area in a wetter period in the past and then retracted during the last glacial maximum (Werneck et al., 2011) and would have promoted reproductive isolation and

consequently ecological refuges surrounded by open habitats (Prance, 1982). Thus, the vegetation of the Caatinga refugium may have differed structurally across Quaternary fluctuations enabling the growth of semideciduous forest in areas that currently support dry shrub-arboreal communities (Auler et al., 2004).

Some results found in this study corroborate this hypothesis as they also found that higher altitudes have more unique species (several which occur at the Atlantic Forest; Werneck et al., 2011) and that plant composition at lower altitude is similar to the typical Caatinga vegetation found in other sites (Ribeiro et al., 2016; Smart et al., 2006).

The wetter climate at the highest elevations allowed the persistence of tropical forest species inside Caatinga boundaries. The mountains of the Brazilian semiarid region are wetter ecosystems than the typical Caatinga in the region, where the hydric balance is more stable and mild temperatures and lower evaporation rates are persistent; this, in turn, leads to the development of a diverse plant community (Sampaio, 2010; Silva et al., 2014). An example of this includes the presence of *Clusia paralicola* G. Mariz (Clusiaceae) in L2, which contributed to the increased density of this level due to the high basal area of this species. *C. paralicola* is typical of wet environments (Alcoforado-Filho, Sampaio, & Rodal, 2003) and until now had not been sampled in mountains of the semiarid region of Brazil (i.e., first record). Limited dispersal to favorable microhabitats can cause specific conditions where species are exclusively found in only a few locations (Born et al., 2014; Kraft et al., 2015). Nevertheless, the theory of nonequilibrium can also cause the isolate occurrence of some species (Reice, 1994). If the climate is historically changing (Werneck et al., 2011), an isolated individual from one rare species could be a relict from a population that is going regionally extinct.

### Implications for Conservation

Our results indicate that mountains of the Caatinga represent a landscape feature that can sustain higher levels of diversity, functioning as refugia for many plant species, and subsequently increasing species richness. These refugia are the result of limited physical accessibility for human disturbance and a more humid climate (mesic environment) than the surrounding matrix. In addition, the mountain tops can be a relict vegetation (refugia) of an ancient Humid Tropical Forest that expanded its area in a wetter period in the past and retracted after drying climate, resulting in higher levels of genetic diversity and endemism (Queiroz, 2006). On the other hand, human disturbance at the base of the mountain has resulted in taxonomic impoverishment and changed the structure of resident plant communities.



The maintenance of these refugia should be the top priority of establishment of new protected areas (PAs) in the Brazilian semi-arid ecosystem. The mountains of the Brazilian Caatinga need to be protected from the strong anthropogenic pressures to which they have already been subjected. The vegetation of the Caatinga is found in only 1.1% of the current PAs (Hauff, 2010). These mountains are extremely relevant for the creation of new PAs. The results provided here highlight the importance of considering these zones as possible areas for protection by the Brazilian government. Adequate conservation efforts should prevent the advancement of human disturbance to other levels of the mountain

(middle and high altitudes), and conservation strategies promoting Caatinga biodiversity should, whenever possible, prioritize the maintenance of the mountain as a whole.

Efforts should not be limited to creating PAs (conservation units) but also directed toward the conservation of managed areas and their local populations (Manhães et al., 2016), as a comanagement system (resource users and governmental agencies), motivating humans to conserve. Finally, it is necessary to understand that plant use by local communities is an integral part of the ecosystem and may be reflected in the structure of plant communities.

## Appendix

**Table A1.** List of Species With Respective Numbers of Individuals and Basal Area Per Level Altitudinal in Mountain Studied in Semi-arid Brazil.

Species (family)	Level 1		Level 2		Level 3	
	NI	BA	NI	BA	NI	BA
<i>Croton blanchetianus</i> (Euphorbiaceae)	469	1.702	24	0.127	36	.157
<i>Poincianella pyramidalis</i> (Fabaceae)	255	1.434	57	0.446	1	.002
<i>Allophylus puberulus</i> (Sapindaceae)	62	0.189	175	1.189	90	.415
<i>Piptadenia stipulacea</i> (Fabaceae)	80	0.500	4	0.053	3	.013
<i>Bauhinia cheilantha</i> (Euphorbiaceae)	24	0.087	77	0.333	72	.254
<i>Croton heliotropiifolius</i> (Euphorbiaceae)	24	0.111	32	0.17	64	.201
<i>Mimosa ophthalmocentra</i> (Fabaceae)	61	0.471	15	0.121	6	.018
<i>Aspidosperma pyriforme</i> (Apocynaceae)	57	0.498	61	1.018	15	.329
<i>Sapium glandulosum</i> (Euphorbiaceae)	4	0.058	45	0.541	12	.09
<i>Commiphora leptophloeos</i> (Burseraceae)	5	0.176	43	0.662	10	.251
<i>Handroanthus impetiginosus</i> (Bignoniaceae)	3	0.039	1	0.008	35	.272
<i>Manihot glaziovii</i> (Euphorbiaceae)	16	0.084	32	0.14	22	.102
<i>Capparis jacobinae</i> (Capparaceae)	11	0.041	26	0.074	24	.076
<i>Myracrodruon urundeuva</i> (Anacardiaceae)	18	0.384	23	0.466	9	.162
<i>Talisia esculenta</i> (Sapindaceae)	4	0.03	3	0.056	22	.089
<i>Jatropha molissima</i> (Euphorbiaceae)	12	0.031	19	0.042	3	.004
<i>Pseudobombax marginatum</i> (Malvaceae)	3	0.016	19	0.281	4	.097
<i>Anadenanthera colubrina</i> var. <i>colubrina</i> (Euphorbiaceae)	17	0.773	4	0.198	1	.001
Morphospecies 1	16	0.048	7	0.028	3	.005
<i>Pilosocereus glaucescens</i> (Cactaceae)	7	0.044	16	0.203	12	.175
<i>Schinopsis brasiliensis</i> (Anacardiaceae)	9	0.386	9	0.611	15	.276
<i>Acalypha multicaulis</i> (Euphorbiaceae)	5	0.009	6	0.017	14	.031
<i>Cynophalla flexuosa</i> (Capparaceae)	5	0.045	10	0.225	13	.063
<i>Lantana microphylla</i> (Verbenaceae)	6	0.015	12	0.123	4	.032
<i>Cereus jamacaru</i> (Cactaceae)	9	0.43	5	0.085	1	.115
<i>Libidibia ferrea</i> (Fabaceae)	6	0.026	9	0.409	2	.017
<i>Ceiba glaziovii</i> (Malvaceae)	3	0.088	8	0.458	2	.178

(continued)

**Table A1.** Continued

Species (family)	Level 1		Level 2		Level 3	
	NI	BA	NI	BA	NI	BA
<i>Mimosa malacocentra</i> (Fabaceae)	3	0.011	5	0.267	5	.03
<i>Eugenia</i> sp. (Myrtaceae)	1	0.004	4	0.00	5	.046
<i>Maytenus rigida</i> (Celastraceae)	1	0.005	2	0.006	4	.009
Morphospecies XVIII	2	0.002	1	0.001	2	.003
<i>Mimosa tenuiflora</i> (Fabaceae)	7	0.094	9	0.105	—	—
<i>Amburana cearensis</i> (Fabaceae)	1	0.008	2	0.147	—	—
<i>Anadenanthera macrocarpa</i> cf. (Fabaceae)	2	0.013	1	0.001	—	—
<i>Lantana</i> sp. (Verbenaceae)	2	0.019	1	0.001	—	—
<i>Clusia paralicola</i> (Clusiaceae)	—	—	1	0.001	100	.497
<i>Vitex rufescens</i> (Lamiaceae)	—	—	5	0.066	33	.452
Morphospecies IV	—	—	7	0.023	31	.076
<i>Syagrus oleracea</i> (Arecaceae)	—	—	17	0.647	8	.213
<i>Simaba cuneata</i> (Simarubaceae)	—	—	1	0.002	17	.071
Morphospecies XVI	—	—	4	0.000	11	.184
Morphospecies XIII	—	—	1	0.000	7	.076
<i>Spondias tuberosa</i> (Anacardiaceae)	—	—	6	0.072	1	.021
Morphospecies X	—	—	1	0.002	4	.015
<i>Erythrina velutina</i> (Fabaceae)	—	—	2	0.226	3	.004
<i>Ximenia americana</i> (Olacaceae)	—	—	2	0.002	2	.002
Morphospecies VIII	—	—	1	0.002	2	.008
<i>Tacinga palmadora</i> (Cactaceae)	13	0.028	—	—	2	.003
<i>Ziziphus joazeiro</i> (Rhamnaceae)	5	0.234	—	—	1	.001
<i>Sideroxylon obtusifolium</i> (Sapotaceae)	2	0.047	—	—	—	—
Morphospecies VII	—	—	2	0.128	—	—
<i>Pilosocereus gounellei</i> (Cactaceae)	—	—	2	0.023	—	—
Morphospecies V	—	—	—	—	5	.019
Morphospecies XIV	—	—	—	—	4	.005
<i>Erythroxylum paufferense</i> (Erythroxylaceae)	—	—	—	—	3	.005
Morphospecies II	—	—	—	—	2	.004
Morphospecies XII	—	—	—	—	2	.003
Morphospecies III	—	—	—	—	1	.003
Morphospecies IX	—	—	—	—	1	.001
Morphospecies XI	—	—	—	—	1	.006
Morphospecies XVII	—	—	—	—	1	.006
<i>Peltophorum dubium</i> var. <i>adnatum</i> (Fabaceae)	—	—	—	—	1	.032

Note. NI = number of individuals. BA = basal area (cm).

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